FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: February 28, 2018
Estimated average burden hours per
response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting I JMP Group LLC | 2. Issuer Name a Harvest Capit | | | 0 3 | | CAP] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner | | | |
|--|-----------------------------------|---|--------------------|-------------|---|------------------|---|---|--|---|
| (Last) (First) 600 Montgomery Street, Suit | 3. Date of Earlie 02/09/2018 | st Transact | tion (| Month/Day/Y | 'ear) | | | (specify below) | | |
| (Street) San Francisco CA 94111 | | 4. If Amendmen | t, Date Ori | ginal | Filed (Mor | nth/Day/ | | 5. Individual or Joint/Group Filing Form Filed by One Reporting Person X_ Form Filed by More than One Reporting | | able Line) |
| (City) (State) | (Zip) | | Table I - I | Non- | Derivativ | e Secu | rities Acquii | red, Disposed of, or Beneficially | Owned | |
| 1.Title of Security (Instr. 3) | Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) | 7. Nature of Indirect Beneficial Ownership |
| | | (wonth/bay/rear) | Code | V | Amount | (A) or (D) | Price | (msu. 3 and 4) | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Common Stock (1) | 02/09/2018 | | P | | 3,076 | A | \$11 | 866,340 | I | See Footnote |
| Common Stock (1) | 02/12/2018 | | P | | 4,154 | A | \$10.3292 | 870,494 | I | See Footnote (2) |
| Common Stock (1) | 02/13/2018 | | P | | 2,550 | A | \$10.4767 | 873,044 | I | See Footnote |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| - 1 | Security (Instr. 3) | Conversion | 3. Transaction Date (Month/Day/Year) | , | Code | Der Sec Acc (A) Diss of (| | rative rities ired rosed) . 3, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Amount of Securities | | Derivative Security (Instr. 5) | Securities Beneficially Owned Following Reported Transaction(s) | Ownership Form of Derivative Security: Direct (D) or Indirect | Beneficial |
|-----|------------------------|------------|--|---|------|---------------------------|-----|---------------------------------|--|--------------------|-------------------------|--|--------------------------------------|--|--|------------|
| | | | | | Code | v | (A) | , | Date Exercisable | Expiration Date | | Amount or Number of Shares | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|--|
| Reporting Owner Traine / Address | Director | 10% Owner | Officer | Other | | | | |
| JMP Group LLC 600 Montgomery Street, Suite 1100 San Francisco CA 94111 | | X | | | | | | |
| JMP Group Inc. 600 Montgomery Street, Suite 1100 San Francisco CA 94111 | | X | | | | | | |
| JMP Securities LLC 600 Montgomery Street, Suite 1100 San Francisco CA 94111 | | X | | | | | | |
| JMP Holding LLC 600 Montgomery Street, Suite 1100 San Francisco CA 94111 | | X | | | | | | |
| JMP Investment Holdings LLC 600 Montgomery Street, Suite 1100 San Francisco CA 94111 | | X | | | | | | |

Signatures

| /s/ Walter Conroy, Authorized Person of JMP Group LLC | 02/13/2018 | | | | |
|--|----------------------------------|--|--|--|--|
| ** Signature of Reporting Person | Date | | | | |
| /s/ Walter Conroy, Authorized Person of JMP Group Inc. **Signature of Reporting Person | 02/13/2018 Date | | | | |
| /s/ Walter Conroy, Authorized Person of JMP Holding LLC "Signature of Reporting Person | $\frac{02/13/2018}{\text{Date}}$ | | | | |
| /s/ Walter Conroy, Authorized Person of JMP Investment Holdings LLC *** Signature of Reporting Person | | | | | |
| /s/ Walter Conroy, Authorized Person of JMP Securities LLC **Signature of Reporting Person | 02/13/2018 Date | | | | |

Explanation of Responses:

- (1) Reflects the acquisition of common stock pursuant to a Rule 10b5-1 Purchase Plan of JMP Investment Holdings LLC.
- (2) 867,386 of these securities are owned by JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities. 5,658 of these securities are owned by JMP Securities LLC pursuant to the Issuer's Dividend Reinvestment Plan. JMP Securities LLC is a wholly owned subsidiary of JMP Holding LLC, which is a wholly owned subsidiary of JMP Group Inc., which is a wholly owned subsidiary of JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC is the indirect beneficial owner of the reported securities.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Proof created by RDG16.com, a service of RDG Filings