

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Estimated average burden  
hours per response: 0.5

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person *<br>JMP Group LLC<br>(Last) (First) (Middle)<br>600 Montgomery Street, Suite 1100<br>(Street)<br>San Francisco CA 94111<br>(City) (State) (Zip) |   | 2. Issuer Name and Ticker or Trading Symbol<br>Harvest Capital Credit Corporation [HCAP] |                                   | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/28/2018 |  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director _____<br>Officer (give title below) <input checked="" type="checkbox"/> 10% Owner<br>Other (specify below) _____ |  | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>Form Filed by One Reporting Person _____<br><input checked="" type="checkbox"/> Form Filed by More than One Reporting Person |  |                             |
|--|---|--|-----------------------------------|--|--|---|--|---|--|-----------------------------|
| <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>  |   |  |                                   |  |  |   |  |   |  |                             |
| 1. Title of Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed Execution Date, if any<br>(Month/Day/Year)                                    | 3. Transaction Code<br>(Instr. 8) |  | 4. Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4 and 5) |   | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I)<br>(Instr. 4)   | 7. Nature of Indirect Beneficial Ownership<br>(Instr. 4) |                             |
|  |   |  | Code                              | V  | Amount   | (A) or (D)  |  |   |  | Price                       |
| Common Stock <sup>(1)</sup>  | 03/28/2018                              |  | P                                 |  | 1,927  | A   | \$10.28  | 923,711   | I  | See Footnote <sup>(2)</sup> |
| Common Stock <sup>(1)</sup>  | 03/29/2018                              |  | P                                 |  | 1,650  | A   | \$10.2628  | 925,361   | I  | See Footnote <sup>(2)</sup> |
| Common Stock <sup>(1)</sup>  | 04/02/2018                              |  | P                                 |  | 2,050  | A   | \$10.3805  | 927,411   | I  | See Footnote <sup>(2)</sup> |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|---|-----|--|-----------------|---|--|--|--|--|
|  |  |                                      |  | Code                           | V | (A)   | (D) | Date Exercisable   | Expiration Date |   |  |  |  |  |
|  |  |                                      |  |                                |   |   |     |  |                 |   |  |  |  |  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| JMP Group LLC<br>600 Montgomery Street, Suite 1100<br>San Francisco CA 94111               |               | X         |         |       |
| JMP Group Inc.<br>600 Montgomery Street, Suite 1100<br>San Francisco CA 94111              |               | X         |         |       |
| JMP Securities LLC<br>600 Montgomery Street, Suite 1100<br>San Francisco CA 94111          |               | X         |         |       |
| JMP Holding LLC<br>600 Montgomery Street, Suite 1100<br>San Francisco CA 94111             |               | X         |         |       |
| JMP Investment Holdings LLC<br>600 Montgomery Street, Suite 1100<br>San Francisco CA 94111 |               | X         |         |       |

## Signatures

|  |  |
|--|--|
| <u>/s/ Walter Conroy, Authorized Person of JMP Group LLC</u><br><small>Signature of Reporting Person</small>               | <u>04/02/2018</u><br><small>Date</small> |
| <u>/s/ Walter Conroy, Authorized Person of JMP Group Inc.</u><br><small>Signature of Reporting Person</small>              | <u>04/02/2018</u><br><small>Date</small> |
| <u>/s/ Walter Conroy, Authorized Person of JMP Holding LLC</u><br><small>Signature of Reporting Person</small>             | <u>04/02/2018</u><br><small>Date</small> |
| <u>/s/ Walter Conroy, Authorized Person of JMP Investment Holdings LLC</u><br><small>Signature of Reporting Person</small> | <u>04/02/2018</u><br><small>Date</small> |
| <u>/s/ Walter Conroy, Authorized Person of JMP Securities LLC</u><br><small>Signature of Reporting Person</small>          | <u>04/02/2018</u><br><small>Date</small> |

## Explanation of Responses:

- Reflects the acquisition of common stock pursuant to a Rule 10b5-1 Purchase Plan of JMP Investment Holdings LLC.
- 921,753 of these securities are owned by JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities. 5,658 of these securities are owned by JMP Securities LLC pursuant to the Issuer's Dividend Reinvestment Plan. JMP Securities LLC is a wholly owned subsidiary of JMP Holding LLC, which is a wholly owned subsidiary of JMP Group Inc., which is a wholly owned subsidiary of JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

**Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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