Common Stock

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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By Jolson

1991 Trust

By Jolson 1991 Trust

By Foundation

D

Name and Address of Reporting Person* Jolson Joseph A			2. Issuer l Harvest C				ading Symbol	(0	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner Other (specify below) Chief Executive Officer Chief Executive Officer				
(Last) (First) (Middle) C/O HARVEST CAPITAL CREDIT CORPORATION 767 THIRD AVENUE, 29TH FLOOR			3. Date of 03/25/2020		Fransact	tion (N	Month/Day/Year						
(Street) NEW YORK (City)	4. If Amer	ndment, D	ate of C	rigina	al Filed (Month/I	Oay/Year)		Individual or Joint/Gone) X Form filed by C Form filed by M	roup Filing (Chec one Reporting Per lore than One Re	rson			
		Table	I - Non-Derivative	Securitie	s Acqu	ired,	Disposed of, o	r Benefic	ially Owne	d			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar 5)			5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
				Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock			03/25/2020		Р		5,500	A	\$4.50 ⁽¹⁾	769,773	I	By Jolson 1991 Trust	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Р

1,700

1,001

03/26/2020

03/27/2020

\$5.50 ⁽³⁾

\$5.13

A

Α

771,473

772,474

30,000

35,768

	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

- 1. The price reflects the weighted average purchase price. The purchase prices for these transactions ranged from \$4.10 to \$4.76. The Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased.
- 2. Reflects shares of common stock held by the Joseph A. Jolson 1991 Trust, of which Mr. Jolson is the trustee.
- 3. The price reflects the weighted average purchase price. The purchase prices for these transactions ranged from \$5.00 to \$5.70. The Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased.
- 4. Reflects shares of common stock held by The Jolson Family Foundation, of which Mr. Jolson is President and Treasurer. Mr. Jolson disclaims beneficial ownership of the shares.

/s/ WILLIAM E ALVAREZ, JR., ON 03/27/2020 BEHALF OF JOSEPH A. JOLSON

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.